

Application for Recognition of Exemption

Under Section 501(c)(3) of the Internal Revenue Code

To be filed in the District in which the organization has its principal office or place of business.

This application, when properly completed, shall constitute the notice required under section 508(a) of the Internal Revenue Code in order that organizations may be treated as described in section 501(c)(3) of the code, and the notice under section 508(b) appropriate to those organizations claiming not to be private foundations within the meaning of section 509(a).

Part I.—Identification (See instructions)

1 Full name of organization The Alliance for Volunteerism, Inc.		2 Employer identification number (If none, attach Form SS-4) 51-016-3216		
3(a) Address (number and street) Suite 617, Colorado Building				
3(b) City or town, State and ZIP code Boulder, Colorado 80302		4 Name and phone number of person to be contacted Kerry Kenn Allen 202-797-7800		
5 Month the annual accounting period ends December	6 Date incorporated or formed August 18, 1975	7 Activity Codes (see instructions)		
		600	602	603

Part II.—Organizational Documents (See instructions)

- 1** Attach a conformed copy of the organization's creating instruments (articles of incorporation, constitution, articles of association, deed of trust, etc.).
- 2** Attach a conformed copy of the organization's by-laws or other rules for its operation.
- 3** If the organization does not have a creating instrument, check here (See instructions)

Part III.—Activities and Operational Information (See instructions)

1 What are or will be the organization's sources of financial support? List in order of magnitude. If a portion of the receipts is or will be derived from the earnings of patents, copyrights, or other assets (excluding stock, bonds, etc.), identify such item as a separate source of receipt. Attach representative copies of solicitations for financial support.

The initial primary source of funding will be the Lilly Endowment, Inc. A conditional commitment has been received from the Endowment; copies of the grant application and related correspondence are attached as an Attachment to this Question 1 of Part III. During the first full year of operation (and after receipt of the Endowment's funds), the Alliance will undertake to solicit funds from other foundations, corporations and the general public. To date, less than \$1,000 has been received from these sources. No earnings are anticipated from patents, copyrights, or other assets.

2 Describe the organization's fund-raising program and explain to what extent it has been put into effect. (include details of fund-raising activities such as selective mailings, formation of fund-raising committees, use of professional fund raisers, etc.)

See Attachment to this Question 2 of Part III

I declare under the penalties of perjury that I am authorized to sign this application on behalf of the above organization and I have examined this application, including the accompanying statements, and to the best of my knowledge it is true, correct and complete.

 (Signature) Secretary May 14, 1976

 (Title or authority of signer) (Date)

Part III.—Activities and Operational Information (Continued)

- 3 Give a narrative description of the activities presently carried on by the organization, and also those that will be carried on. If the organization is not fully operational, explain what stage of development its activities have reached, what further steps remain for the organization to become fully operational, and when such further steps will take place. The narrative should specifically identify the services performed or to be performed by the organization. (Do not state the purposes of the organization in general terms or repeat the language of the organizational documents.) If the organization is a school, hospital, or medical research organization, include sufficient information in your description to clearly show that the organization meets the definition of that particular activity that is contained in the instructions for Part VII-A on page 3 of the instructions.

The Alliance for Volunteerism, acting through both its Secretariat and its members, will undertake activities which will benefit the members and which individual members either cannot afford or do not have the ability, acting alone, to initiate.

These activities will be planned and implemented in one of these ways:

- A. The Alliance will study the adoption of a program of shared services for its members, to increase administrative efficiency. Preliminary results of this study are described in an Attachment to this Question 3A Part III.
- B. Some activities will be undertaken by the paid staff of the Alliance Secretariat or by ad hoc committees or task forces of the Alliance. Examples of these activities may be found in the first year action plan in an Attachment to this Question 3A Part III.
- C. Task forces have been established in ten areas of common interest to Alliance members. Each task force will be responsible for research, planning and implementation of activities in their specific area. Full descriptions of each task force are in an Attachment to this Question 3A Part III.

The Alliance has offered membership to twelve organizations (See Part VII-C, Question 1), all of which have accepted membership and, pursuant to the By-Laws, have appointed Directors.

Part III.—Activities and Operational Information (Continued)

4 The membership of the organization's governing body is:

(a) Names, addresses, and duties of officers, directors, trustees, etc.	(b) Specialized knowledge, training, expertise, or particular qualifications
See Attachment to this Question 4 of Part III	See Attachment to this Question 4 of Part III

(c) Do any of the above persons serve as members of the governing body by reason of being public officials or being appointed by public officials? Yes No

If "Yes," please name such persons and explain the basis of their selection or appointment.

However, ACTION, the federal volunteer agency, has had and will have a representative attend meetings of the Alliance's Board and Committees in a non-voting, observer status only.

(d) Are any members of the organization's governing body "disqualified persons" with respect to the organization (other than by reason of being a member of the governing body) or do any of the members have either a business or family relationship with "disqualified persons"? (See specific instructions 4(d).) Yes No

If "Yes," please explain.

responsible for the work of the organization and its activities or other similar activities. Each copy of the report shall be attached to Part III.

5 Does the organization control or is it controlled by any other organization? Yes No

Is the organization the outgrowth of another organization, or does it have a special relationship to another organization by reason of interlocking directorates or other factors? Yes No

If either of these questions is answered "Yes," please explain.

See Attachment to this Question 5 of Part III.

6 Is the organization financially accountable to any other organization? Yes No

If "Yes," please explain and identify the other organization. Include details concerning accountability or attach copies of reports if any have been rendered.

To the Lilly Endowment, Inc. once the Alliance receives the grants described in Attachment to Question 1 of Part III.

7 What assets does the organization have that are used in the performance of its exempt function? (Do not include income producing property.) If any assets are not fully operational, explain what stage of completion has been reached, what additional steps remain to be completed, and when such final steps will be taken.

See Question 1 of Part III and Attachment thereto.

Part III.—Activities and Operational Information (Continued)

8 (a) What benefits, services, or products will the organization provide with respect to its exempt function?

See answer and Attachment to Questions 1 and 3 of Part III

(b) Have the recipients been required or will they be required to pay for the organization's benefits, services, or products?

Yes No

If "Yes," please explain and show how the charges are determined.

9 Does or will the organization limit its benefits, services or products to specific classes of individuals? Yes No

If "Yes," please explain how the recipients or beneficiaries are or will be selected.

To members of the Alliance and to such similar publicly-supported tax-exempt organizations as the Board shall determine. See By-Laws, Articles II and III, Attachment to Question 2, Part II.

10 Is the organization a membership organization? Yes No

If "Yes," complete the following:

(a) Please describe the organization's membership requirements and attach a schedule of membership fees and dues.

See Article III of the By-Laws, Attachment to Question 2, Part II. There are no fees or dues now planned.

(b) Are benefits limited to members? Yes No

If "No," please explain.

See Answer to Question 9 of this Part III.

(c) Attach a copy of the descriptive literature or promotional material used to attract members to the organization. None available.

11 Does or will the organization engage in activities tending to influence legislation or intervene in any way in political campaigns? Yes No

If "Yes," please explain.

See Attachment to this Question 11, of Part III.

Part IV.—Statement as to Private Foundation Status (See instructions)

1 Is the organization a private foundation? Yes No

2 If question 1 is answered "No," indicate the type of ruling being requested as to the organization's status under section 509 by checking the applicable box below:

Definitive ruling under section 509(a)(1), (2), (3), or (4) — complete Part VII.

Advance or extended advance ruling under section 509(a)(1) or (2) — See instructions.

3 If question 1 is answered "Yes," and the organization claims to be a private operating foundation, check here and complete Part VIII.

Part V.—Financial Data (See instructions)

Statement of Receipts and Expenditures, for period ending April 30, 1976

Receipts		
1	Gross contributions, gifts, grants and similar amounts received	25,788.36
2	Gross dues and assessments of members	-
3	Gross amounts derived from activities related to organization's exempt purpose	-
	Less cost of sales	-
4	Gross amounts from unrelated business activities	-
	Less cost of sales	-
5	Gross amount received from sale of assets, excluding inventory items (attach schedule)	-
	Less cost or other basis and sales expense of assets sold	-
6	Interest, dividends, rents and royalties	-
7	Total receipts	25,788.36
Expenditures		
8	Contributions, gifts, grants, and similar amounts paid (attach schedule)	-
9	Disbursements to or for benefit of members (attach schedule)	16,644.77
10	Compensation of officers, directors, and trustees (attach schedule)	-
11	Other salaries and wages	4,313.80
12	Interest	-
13	Rent	108.35
14	Depreciation and depletion	-
15	Other (attach schedule)	-
16	Total expenditures	21,066.92
17	Excess of receipts over expenditures (line 7 less line 16)	4,721.44

Balance Sheets		Enter dates	Beginning date	Ending date
			November 1, 1975	April 30, 1976
Assets				
18	Cash—(a) Interest bearing accounts		-	-
	(b) Other		3,293.72	3,194.57
19	Accounts receivable, net		-	-
20	Inventories		-	-
21	Bonds and notes (attach schedule)		-	-
22	Corporate stocks (attach schedule)		-	-
23	Mortgage loans (attach schedule)		-	-
24	Other investments (attach schedule)		-	-
25	Depreciable and depletable assets (attach schedule)		476.46	476.46
26	Land		-	-
27	Other assets (attach schedule)		-	-
28	Total assets		3,770.18	3,671.03
Liabilities				
29	Accounts payable		-	-
30	Contributions, gifts, grants, etc., payable		-	-
31	Mortgages and notes payable (attach schedule)		11,178.36	1,000.00
32	Other liabilities (attach schedule)		-	-
33	Total liabilities		11,178.36	1,000.00
Fund Balance or Net Worth				
34	Total fund balance or net worth		(7,408.18)	2,671.03
35	Total liabilities and fund balance or net worth (line 33 plus line 34)		(18,586.54)	1,671.03

Part VI.—Required Schedules for Special Activities (See instructions) Not Applicable

	If "Yes," check here:	And, complete schedule—
1	Is the organization, or any part of it, a school?	A
2	Does the organization provide or administer any scholarship benefits, student aid, etc.?	B
3	Has the organization taken over, or will it take over, the facilities of a "for profit" institution?	C
4	Is the organization, or any part of it, a hospital?	D
5	Is the organization, or any part of it, a home for the aged?	E
6	Is the organization, or any part of it, a litigating organization (public interest law firm or similar organization)?	F

Part VII.—Non-Private Foundation Status (Definitive ruling only)

A.—Basis for Non-Private Foundation Status

The organization is not a private foundation because it qualifies as:

	✓	Kind of organization	Within the meaning of	Complete
1		a church	Sections 509(a)(1) and 170(b)(1)(A)(i)	
2		a school	Sections 509(a)(1) and 170(b)(1)(A)(ii)	
3		a hospital	Sections 509(a)(1) and 170(b)(1)(A)(iii)	
4		a medical research organization operated in conjunction with a hospital	Sections 509(a)(1) and 170(b)(1)(A)(iii)	
5		being organized and operated exclusively for testing for public safety	Section 509(a)(4)	
6		being operated for the benefit of a college or university which is owned or operated by a governmental unit	Sections 509(a)(1) and 170(b)(1)(A)(iv)	Part VII.—B
7		normally receiving a substantial part of its support from a governmental unit or from the general public	Sections 509(a)(1) and 170(b)(1)(A)(vi)	Part VII.—B
8		normally receiving not more than one-third of its support from gross investment income and more than one-third of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions (subject to certain exceptions)	Section 509(a)(2)	Part VII.—B
9	X	being operated solely for the benefit of or in connection with one or more of the organizations described in 1 through 4, or 6, 7 and 8, above	Section 509(a)(3)	Part VII.—C

B.—Analysis of Financial Support

	(a) Most recent taxable year	(Years next preceding most recent taxable year)			(e) Total
		19.....	(b) 19.....	(c) 19.....	
1	Gifts, grants, and contributions received				
2	Membership fees received				
3	Gross receipts from admissions, sales of merchandise or services, or furnishing of facilities in any activity which is not an unrelated business within the meaning of section 513				
4	Gross income from interest, dividends, rents and royalties				
5	Net income from organization's unrelated business activities				
6	Tax revenues levied for and either paid to or expended on behalf of the organization				
7	Value of services or facilities furnished by a governmental unit to the organization without charge (not including the value of services or facilities generally furnished the public without charge)				
8	Other income (not including gain or loss from sale of capital assets)—attach schedule				
9	Total of lines 1 through 8				
10	Line 9 less line 3				
11	Enter 2% of line 10, column (e) only				

12 If the organization has received any unusual grants during any of the above taxable years, attach a list for each year showing the name of the contributor, the date and amount of grant, and a brief description of the nature of such grant. Do not include such grants in line 1 above. (See instructions)

B.—Analysis of Financial Support (Continued)

13 If the organization's non-private foundation status is based upon:

- (a) Sections 509(a)(1) and 170(b)(1)(A)(iv) or (vi).—Attach a list showing the name and amount contributed by each person (other than a governmental unit or "publicly supported" organization) whose total gifts for the entire period exceed the amount shown on line 11.
- (b) Section 509(a)(2).—With respect to the amounts included on lines 1, 2, and 3, attach a list for each of the above years showing the name of and amount received from each person who is a "disqualified person."
 With respect to the amount included in line 3, attach a list for each of the above years showing the name of and amount received from each payor (other than a "disqualified person") whose payments to the organization exceeded \$5,000. For this purpose, "payor" includes but is not limited to any organization described in sections 170(b)(1)(A)(i) through (vi) and any government agency or bureau.

C.—Supplemental Information Concerning Organizations Claiming Non-Private Foundation Status Under Section 509(a)(3)

1 Organizations supported by applicant organization:

Name and address of supported organization	Has the supported organization received a ruling or determination letter that it is not a private foundation by reason of sections 509(a)(1), or (2)?
See Attachment to Question 1 of Part VII-C	See Attachment to Question 1 of Part VII-C

2 What does the applicant organization do to support the above organizations?

See Answer and Attachment to Question 3 of Part III

3 In what way do the supported organizations operate, supervise, or control the applicant organization, or in what way are the supported and applicant organizations operated in connection with each other?

The members of the Alliance control it by virtue of individually appointing at least two-thirds of the Board of Directors and electing the balance. All officers of the Alliance must be directors. See Articles II, III, IV and VIII of the By-Laws (Attachment to Question 2A Part II)

4 Is the applicant organization controlled directly or indirectly by one or more "disqualified persons" (other than one who is a disqualified person solely because he is a manager) or by an organization which is not described in section 509(a)(1) or (2)? Yes No

If "Yes," please explain.
One of the members of the Alliance, the Association for Administrators of Volunteer Services is classified as tax-exempt under §501(c)(6) of the Code. See Attachment to Question 1A this Part VII-C

Part VIII.—Basis for Status as a Private Operating Foundation

If the organization—

- (a) bases its claim to private operating foundation status upon normal and regular operations over a period of years; or
- (b) is newly created, set up as a private operating foundation, and has at least one year's experience;

complete the schedule below answering the questions under the income test and one of the three supplemental tests (assets, endowment, or support). If the organization does not have at least one year's experience, complete line 21. If the organization's private operating foundation status depends upon its normal and regular operations as described in (a) above, submit, as an additional attachment, data in tabular form corresponding to the schedule below for the three years next preceding the most recent taxable year.

	Most recent taxable year
Income Test	
1 Adjusted net income, as defined in section 4942(f)	
2 Qualifying distributions:	
(a) Amounts (including administrative expenses) paid directly for the active conduct of the activities for which organized and operated under section 501(c)(3) (attach schedule)	
(b) Amounts paid to acquire assets to be used (or held for use) directly in carrying out purposes described in sections 170(c)(1) or 170(c)(2)(B) (attach schedule)	
(c) Amounts set aside for specific projects which are for purposes described in section 170(c)(1) or 170(c)(2)(B) (attach schedule)	
(d) Total qualifying distributions (add lines 2(a), (b), and (c))	
3 Percentage of qualifying distributions to adjusted net income (divide line 1 into line 2(d)—percentage must be at least 85 percent	%
Assets Test	
4 Value of organization's assets used in activities that directly carry out the exempt purposes. Do not include assets held merely for investment or production of income (attach schedule)	
5 Value of any corporate stock of corporation that is controlled by applicant organization and carries out its exempt purposes (attach statement describing such corporation)	
6 Value of all qualifying assets (add lines 4 and 5)	
7 Value of applicant organization's total assets	
8 Percentage of qualifying assets to total assets (divide line 7 into line 6—percentage must exceed 65 percent)	%
Endowment Test	
9 Value of assets not used (or held for use) directly in carrying out exempt purposes:	
(a) Monthly average of investment securities at fair market value	
(b) Monthly average of cash balances	
(c) Fair market value of all other investment property (attach schedule)	
(d) Total (add lines 9(a), (b), and (c))	
10 Subtract acquisition indebtedness with respect to line 9 items (attach schedule)	
11 Balance (line 9 less line 10)	
12 Apply to line 11 a factor equal to two-thirds the current applicable percentage for the minimum investment return under section 4942(e)(3). Line 2(d) must equal or exceed the result of this computation	
Support Test	
13 Applicant organization's support as defined in section 509(d)	
14 Less—amount of gross investment income as defined in section 509(e)	
15 Support for purposes of section 4942(j)(3)(B)(iii)	
16 Support received from the general public, five or more exempt organizations, or a combination thereof (attach schedule)	
17 For persons (other than exempt organizations) contributing more than 1 percent of line 15, enter the total amounts in excess of 1 percent of line 15	
18 Subtract line 17 from line 16	
19 Percentage of total support (divide line 15 into line 18—must be at least 85 percent)	%
20 Does line 16 include support from an exempt organization which is in excess of 25 percent of the amount on line 15? <input type="checkbox"/> Yes <input type="checkbox"/> No	
21 Newly created organizations with less than one year's experience: Attach a statement explaining how the organization is planning to satisfy the requirements of section 4942(j)(3) with respect to the income test and one of the supplemental tests during its first year's operation. Include a description of plans and arrangements, press clippings, public announcements, solicitations for funds, etc.	